

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/655,598

Applicant Filed

Jörg HAGER et al.

TC/Art Unit

5 September 2003 Unassigned

Examiner

Unassigned

Docket No.

48506-00009

Customer No.

23767

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56(b)

Mail Stop DD Commissioner for Patents Alexandria, VA 22313-1450

Sir:

In order to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. §1.56, submitted herewith on Form PTO/SB/08A is a listing of documents known to Applicants. The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicants are submitting herewith on Form PTO/SB/08A a list of the documents cited by or submitted to the Patent Office in parent application Serial No. 09/936,299, filed on 20 December 2001. The relevance of these documents is explained in the parent application.

As provided in 37 C.F.R. 1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. 09/936,299, filed on 20 December 2001.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. § 609.

This statement is being filed in compliance with 37 CFR §1.97(b), within three (3) month of the filing date of the application. Accordingly, no fee is required in connection with this filing. Nonetheless, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment associated with the filing of this paper to undersigned's Deposit Account No. 50-1067.

Respectfully submitted,

5 December 2003

Reg. No. 33,754

Preston Gates Ellis & Rouvelas Meeds LLP 1735 New York Avenue, NW, Suite 500 Washington, DC 20006 Tel. 202.628.1700

Fax. 202.331.1024

PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO 10/655,598 Application Number INFORMATION DISCLOSURE Filing Date 5 September 2003 STATEMENT BY APPLICANT First Named Inventor Jörg HAGER Art Unit Unassigned (use as many sheets as necessary) Examiner Name Unassigned 48506-00009 Sheet of Attorney Docket Number

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant				
		Number-Kind Code ² (if known)		of Cited Document	Passages or Relevant Figures Appear				
	A1	5,750,335	05/12/1998	Gifford					
	A2	5,879,886	03/09/1999	Meo et al.					
		-							
	Γ								

		FOREI	GN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	А3	WO 89/12695 A	12/28/1989	Genelabs Inc.	:	
	A4	WO 95/12688 A	05/11/1995	US Biochemical Corp		
	A5	WO 95/12689 A	05/11/1995	Gene Check Inc; Wagner, Robert E., Jr. (US)	•	
	A6	WO 96/17082 A	06/06/1996	Du Pont; Morgante, Michele (IT) Vogel, Julie Marie (US)		
	A7	WO 96/23903 A	08/08/1996	Landegren Ulf; Lagerqvist Arild (SE)		
	A8	WO 96/41002 A	12/19/1996	Genzyme Corp		
	A9	WO 93/22457 A	11/11/1993	Massachusetts Institute of Technology		
	A10	WO 93/22462 A	11/11/1993	Univ Leland Stanford Junior		П
	A11	WO 99/25872 A	05/27/1999	Hexagen Technology Limited		

Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	A12	Klein et al., 96 PROC. NAT'L. ACAD. Sci. 4494-99 (1999)				
	A13	Lishanski et al., 91 PRoc. Nat'l. Acad. Sci. 2674-78 (1994)				
	A14	Cheung et al., 47 GENOMICS 1-6 (1998)				
	A15	McAllister et al., 47 GENOMICS 7-11 (1998)				
	A16	Smith et al., 93 Proc. Nat'l. Acad. Sci. 4374-79 (1996)				
	Ĭ					

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

